Characterization and novel characterization techniques (WP3)

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NANDcrystalline silicon films for



Partners involved in the characterization issue (WP3)



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NANDcrystalline silicon films for





objectives from the nanophoto project

- the <u>experimental study of the correlation between the</u> <u>crystallinity fraction, the grain size, the hydrogen content, the</u> <u>density of the recombination centres</u>, ...
- the study of the <u>correlation between microstructure</u>, <u>hydrogen content</u>, <u>optical gap and optical absorption</u> <u>coefficient</u>, in view of an improved minority carrier generation
- **WP3** collects all the characterization studies and also the quantum confinement studies. To this WP contribute the teams of TECSEN, UKON, UNIMIB and UNIBO, in reason of their different and complementary expertises.



Outline

- Material properties
 - UNDOPED nc-Si:H
 - Microstructure
 - Morphology
 - Electrical properties
 - Optical/optoelectronic properties
- <u>Feedback between experiments and theory</u>
- <u>Correlation between material properties and growth</u> <u>parameters</u>
- <u>New experimental methods</u> (or advancement)
 - C-AFM,
 - SPS
 - TEM new sample preparation
- Results on DOPED nc-Si:H
- Conclusions



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Material Properties



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Materials

intrinsic nc-Si:H grown by



Low Energy Plasma Enhanced Chemical Vapour Deposition (LEPECVD)

UNDOPED

Sample set	d [%]	Х _с [%]	Substrate	Ts[°C]	t[µm]
Series I	1 ÷ 20	65 ÷ 70	SiO ₂ /Si	208 ÷ 280	1.5 ÷ 1.7
Series II	1 ÷ 60	10 ÷ 50	Si	280	1÷2
Series III	20 ÷ 70	13 ÷ 80	Glass	250	1÷4
Series IV	30 ÷ 50	10 ÷ 50	ZnO/glass	230	1÷4
Series V	30 ÷ 50	10 ÷ 50	ITO/glass	230	1÷4

d = dilution factor = Φ (SiH₄)/ [Φ (SiH₄) + Φ (H₂)] X_c crystal fraction determined by RAMAN spectroscopy, t sample thickness

Material properties

- What do we know now, at the end of the nanophoto Project, on nc-Si:H films grown by LEPECVD?
- What the correlation between material properties and growth parameters?



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microstructure

Characterization

- TEM by TECSEN
- XRD and Raman by UNIMIB
- Raman vs depth by UNIMIB \rightarrow homogeneity

Results

- growth scheme
- homogeneity
 - in depth (growth direction)
 - in plane (along the wafer)



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3.00 nm

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Series I. Plane view observations

Bright fieldTEM micrograph & corresponding SAED pattern



HR-TEM micrographs Left : under-focalized; Right : No defocus



Nanometric size domains are observed

Domains are constituted by misoriented nano-crystals

Various nanocrystal sizes (between 4 to 20 nm)

Series II <u>Cross-section view</u> observations





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Structural characterization: XRD results

Preferred growth direction <111> for series I, II and III

(111

Average grain dimension L

from tens of nm at high silane dilution (d < 30%) to few nm (3-5nm) at low silane dilution (d>30%)



METHODS:

X ray Diffraction (XRD): PANalytical X'PERT-PRO diffractometer (Bragg-Brentano geometry, θ-θ scans); accelerating voltage 40 kV/current 40 mA used to produce a Cu-Ka radiation (1.5406 Å).

Crystal fraction Xc by Raman Analyses (comparison with Kinetic Monte Carlo modeling [1])



- good "crystallinity uniformity" of the samples up to d (dilution factors) = 20 %
- large deviations from uniformity at d>20%:



[1] P. Novikov, F. Montalenti, and L. Miglio, in preparation.

... from the collaboration between L-NESS and UNIMIB

Magnetic field configuration

 Recent <u>results</u> regarding <u>the crystalline fraction</u> <u>homogeneity in the wafer</u> suggest that the magnetic field configuration is more important than previously considered

Silane flow influence on the film microstructure:

✓ Reducing the silane flow from 20 to 12 sccm increases the crystalline fraction uniformity along the growth direction.

Homogeneity of the film



 \Rightarrow the same evolution of the morphology along the growth direction as a function of the SiH4 flux was observed independently both of the substrate (ITO-coated glass, ZnO-coated glass and Corning glass) and of the dilution factor (d=30%,d=50%).

All the sample series. Growth General Scheme

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We know how the uniformity of the film can be obtained

Homogeneity of the film

- the crystalline fraction <u>uniformity</u> increases along the growth direction if the silane flow increases from 20 to 12 sccm.
- the crystalline fraction <u>homogeneity</u> in the wafer increases by correct adjustment of magnetic field configuration.
- the crystalline fraction <u>homogeneity</u> in the wafer increases by dilution factor up to 20%.
- The crystal fraction decreases as dilution increases further.





Characterization

• AFM by UNIBO

Results

- Surface morphology and roughness as a function of substrate
- Comparison with theory



Roughness- simulation



The evolution of the roughness vs thickness simulated by a simple atomistic Kinetic Monte Carlo model [1] including hydrogen-coverage and crystallinity dependent impact-following events. Activated diffusion, instead, is assumed to be frozen [2].



Experimental results are nicely recovered by assuming a crystallinity of 50% and an average hydrogen coverage of 5-7%.

Results

[1] F. Gemma & F. Montalenti, in preparation[2] S. Cereda et al., Phys. Rev. Lett. 100, 046105 (2008)].

Roughness vs Substrates:





TOPOGRAPHY: Roughness vs substrate (summary of all the samples and substrates)



<u>UNIBO</u>



Morphology/roughness

We know how to obtain a rough (textured) surface

- Surface roughness depends on substrate
- Surface roughness increases with thickness



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Electrical properties

Characterization

- C-AFM, UNIBO
- Electrical conductivity UNIMIB, UKON

Results

- Conduction at a microscopic level
- Best material with optimum electrical conductivity



We know how to optimize photosensitivity and conductivity

Conductivity. Results

Dark conductivity measurements in planar configuration

- Low dark conductivity values obtained for all the sample series ≈ 10⁻⁷ Ohm⁻¹cm⁻¹ (good candidate as i- layer in for p-i-n cells)
- Low photosensitivity ≈ 2 for sample series I and II (growth on Si and SiO₂/Si)
- High photosensitivity ≈ 100 for the samples series III (grown on glass), promising for PV applications.



We know where (in which phase) does the current flow

Electrical conduction at microscopic level

- Controversial results in literature on conduction mechanisms in nc-Si:H [1,2].
- The conduction in the present films is localized within the <u>nanocrystallites</u>, the proposed mechanism is transport via the crystallites [2].
- The amorphous tissue surrounding the nanocrystals is non conductive E_G(a-Si:H) > E_G(c-Si).
- Intrinsic nc-Si:H
 - the conductive nanocrystals are mainly located in the "hills" of the structure.
- <u>Doped nc-Si:H</u>
 - the conductive nanocrystals are mainly, but not only, located in the "valley" of the structure.

[1, I.Balberg et al., Phys. Rev. B 71 (2005)] vstalline silicon films for

[2, A.Fejfar et al., J. Non-Cryst. Solids 266-269 (2000)]

Optical/Optoelectronic properties

Characterization

- SPS, UNIBO
- **Optical Transmission UNIMIB, UKON, UNIBO**
- PL, UNIMIB

Results

- Energy Gap, Urbach tails
- Defect states
- Film thickness
- PL emission bands



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Energy gap and Urbach tails vs crystallinity UNIBO

	GAP (eV)	Urbach tails	
Amorphous	1.87 (Tauc)	few hundreds of meV	
Low Xc (<65%)	from 1.3 to 1.87	few hundreds of	
amorphous-like	(Tauc)	meV	
High Xc (>65%)	From 1.40 to 1.53	few meV	
nc-like	eV	(<10meV)	

Amorphous or Amorphous-like Si intra-gap states. Origin of defective states? test by Hydrogenation





[1] Nadazdy and Zeman, Phys Rev B 2004, Fefer Shapira Balberg APL 1995

² Theory, A. Mattoni, L Colombo, Univ of Cagliari

Emission Spectra by Photoluminescence





PL Summary.



- Grain Size is strictly related with <u>A band</u> emission. Crystallinity with <u>B band</u>
- Laser Annealing suppresses A band intensity increasing the Mean Grain Size
- nc-Si/a-Si A band and nc-Si/SiO₂ emission band share analogous time distribution G(τ)

A band is the recombination of *confined exciton* in silicon nanocrystal inclusions in a-Si We know the energy gap (solar spectrum matching?) the origin of defect states, the origin of the main PL bands.

Optical/Optoelectronic

- Energy gap ranging from 1.3 to 1.87 eV
- Urbach tails few meV for highly crystalline films, hundreds of meV for nearly amorphous films
- Defect states not related to DBs (as usually reported in literature), likely related with <u>crystal disorder</u>.
- PL A band related to recombination of confined exciton in silicon nanocrystal inclusions in a-Si.



NANDcrystalline silicon films for

Feedback between experiments and theory

modeling on roughness



modeling of optical properties (absorption)

Correlation between material properties and growth parameters



NANDerystalline silicon films for



Correlation between growth parameters and thin-film properties

Growth parameter	Material property	Detection Method
Dilution factor	crystallinity	Raman
SiH ₄ flux/ B config	Crystal fraction homogeneity	Raman
substrate	Microstructure	TEM
	Morphology (roughness, AGS)	AFM
temperature	Microstructure	TEM
thickness	Morphology (roughness, AGS)	AFM
Dilution factor/ crystallinity	Conductivity	I-V
	Defects, energy gap, crystal disorder	SPS
	Photo- emission	PL



NANDcrystalline silicon films for

Material properties independent on growth parameters

Material property	Detection Method
Electrical transport at nanoscale	C-AFM
H content	FTIR
Preferential orientation	XRD
Average nanocrystal dimension	TEM



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New characterization methods



NANDcrystalline silicon films for



Characterization methods

- What the new experimental methods developed within the Project?
- What the advancement in the estabilished ones?



NANDcrystalline silicon films for


C-AFM Conductive AFM



Only very recently applied to nc-Si:H [1,2] but with controversial results Allows for the **the localization of the trasport properties in nc-Si:H**



[**1]**, I.Balberg et al., Phys. Rev. B 71 (2005)]

[2,] A.Fejfar et al., J. Non-Cryst. Solids 266-269 (2000)]

NANDerystalline silicon films for



PHOTO voltaic and optoelectronic applications

SPS

Surface photovoltage Spectroscopy

- Non contact method
- Allows for the determination of defect states and optical properties
- Allows for the identification of transition region nearly amorphous-nearly crystalline.
- <u>Never applied to nc-Si:H</u>



Optical behavior typical of nc-Si:H; optical transitions at discrete energy levels, tail states lower than 0.1 eV

Optical behavior typical of a-Si:H; optical transitions at band states, tail states larger than 0.1 eV



NANDcrystalline silicon films for

PHOTOvoltaic and optoelectronic applications

TEM in plan view, new thinning procedure for HR-TEM study

<u>The double-wedge polishing method</u> This method allows us to carry out TEM observations in **plane view** of a same sample, at various depths.



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Thinning procedure for HR-TEM study: chemical etching



After etching :



- Very thin areas suited to HR-TEM study
- No amorphisation
- No contamination









Task 3.1 : Stress measurements and transmission electron microscopy results

Experimental details :

TEM thin foil preparation for plan-view observations (HRTEM)









Task 3.1 : Stress measurements and transmission electron microscopy results

Experimental details : TEM thin foil preparation for cross-section observations (X-HRTEM)









<u>The double-wedge polishing method :</u> <u>experimental procedure (1)</u>

Step 1 : Formation of a dimple in the deposited film. (using dimple-grinder or ion milling)



Step 2 : The sample is turned upside down and glued
on the Tripod pyrex[™].
(the deposited film facing the pyrex)



Step 3 : The substrate side of the sample is mechanically grinded with a slope of about 1°.



Step 4 : The polishing is stopped when the edge intersects the dimple

The double-wedge polishing method : experimental procedure (2)

* TE







TEM observations in "quasi" plane view (10° off):

Central zone : 0.4 $\mu m \rightarrow 0.8 \ \mu m$

Depth: 0,5 µm



Depth: 0,6 μ m





The double-wedge polishing method : results (2)



TEM observations in "quasi" plane view (10° off): Central zone : 0.4 μ m \rightarrow 0.8 μ m









Raman vs depth...

• Raman at different penetration depth..



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PHOTOvoltaic and optoelectronic applications



Raman vs depth... Homogeneity of the film



This sample presents an **inhomogeneous structure** along the growth direction



This sample shows a **homogeneous structure** along the growth direction!!

 \Rightarrow the same evolution of the morphology along the growth direction as a function of the SiH4 flux was observed independently both of the substrate (ITO-coated glass, ZnO-coated glass and Corning glass) and of the dilution factor (d=30%,d=50%).



Conductivity. Low dilution samples



Activation energies consistent with
 intrinsic or non intentionally doped
 μc-Si:H with high crystalline fraction

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- both σ and E_a significantly changes with doping
 - no Fermi level pinning as reported for a-Si

High T	6733 I d:1.96%	7578 I d:6%	7446 N doped	7445N doped
E _a (eV)	0.52 ± 0.02	0.50 ± 0.02	0.20 ± 0.02	0.12 ± 0.02
$σ_{\rm RT}$ (Ω ⁻¹ .cm ⁻¹)	3.2 E-7	1.4 E-6	6.0 E-4	2.6 E-3

Conductivity of the p-doped nc-Si:H films



- As expected the conductivity increases with doping ratio to reach a maximum of 5-6 S/cm at <u>DR 6.3%!!!</u> In the literature the optimum DR is between 0.4 and 0.8% for VHF-PECVD or HWCVD
- The conductivity on one wafer varies for a factor 3 to 6

Conclusions (1)

• What do we know now on nc-Si:H?

- The growth mechanism
- The electrical conduction mechanism
- The origin of defect states and of the PL emission band
- We know
 - how to obtain a uniform material
 - How to obtain high photogain
- We know the correlation between growth parameters and material properties
- During the project we developed /applied innovative characterization methods
 - C-AFM, SPS, double wedge polishing method for plain view TEM maps at different depths in the same sample, Raman vs depth ..
- Feedback between experiments and growth
- Exp Results as input for modeling



Conclusions(2)

• Is nc-Si:H a good material for PV applications?

- high photogain obtained in some samples, very promising for PV applications, further improvements are possible
- The knowledge of the correlation between material properties and growth conditions allows for solar cell optimization.



NANDcrystalline silicon films for

PHOTOvoltaic and optoelectronic applications

C-AFM The method





The probe scans the sample surface in contact mode.

A feedback loop keeps the cantilever deflection constant by varying the tipsample distance. At the same time a bias potential (3V) is applied to the probe and the electrical current is measured. Topography and current maps are obtained simultaneously

C-AFM Transport Mechanisms in nc-Si:H



The localization of the trasport properties in the material is still an open problem. *Route 1* and *Route 2* represent two possibilities supported by experimental data [1,2].

[Route 1, I.Balberg et al., Phys. Rev. B 71 (2005)] [Route 2, A.Fejfar et al., J. Non-Cryst. Solids 266-269 (2000)]



Results: Electrical Conduction

Intrinsic nc-Si:H



All the intrinsic samples (grown on Si, Glass,...) show the same behavior

Outline

- Materials
 - UNDOPED nc-Si:H
- Results
 - Structural Characterization
 - Stress measurements and transmission electron microscopy (TEM)
 - Atomic Force Microscopy
 - XRD, Raman spectroscopy, and FTIR
 - Electrical characterization
 - o C-AFM
 - Conductivity vs T
 - Optoelectronic characterization
 - SPV (Surface Photovoltage Spectroscopy)
 - Photoluminescence measurements and Quantum confinement studies
- Conclusions
 - Correlation between growth parameters and material properties
- New Results on DOPED nc-Si:H
- Conclusions









Series I. <u>Plane view observations</u>

Bright fieldTEM micrograph & corresponding SAED pattern



HR-TEM micrographs Left : under-focalized; Right : No defocus





Nanometric size domains are observed

Domains are constituted by misoriented nano-crystals

Various nanocrystal sizes (between 4 to 20 nm)

Series I <u>Cross-section view</u> <u>observations</u>







- Columnar growth
- Fringe contrasts : twinning within the columns



Bright field TEM micrographs (low magnification)

Series II <u>Cross-section view</u> observations





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Series III Cross-section and plan-view

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observations :



Series IV Plane view observations







Area close to the ZnO film



Central part of the nc-Si layer



Crystallinty seems to vary depending on the investigated area :

 χ_c low close to the ZnO film vs χ_c higher after only a few tens of nanometres



Conclusions:

- RMS increases vs film thickness
- RMS is independent on crystallinity

Roughness vs thickness. Summary





AGS increases for increasing thickness

AFM analyses: 12 maps in different areas of the samples 1X1 μ m FFT of the maps to remove noise and artifacts (tilting, piezo drift, acustic noise) The error bars are the standard deviation of the RMS roughness values on the different maps

H content determination by FTIR







[H] map for the sample #7653 (d=10%)

relatively low (around 2.5%) H concentration in all samples



Obtained from the values of integrated absorption of the Si-H waggingrocking mode at 640 cm⁻¹ [Y. He, C. Yin, L. Wang, X. Liu, G.H. Hu, J.App.Phys. 75 (1994) 797]



Samples grown on ITO/glass, Xc= 50 % dilution 40%

Electrical conduction: a tentative explanation



Conductivity measurement setup

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Using a Keithley 617 electrometer in V source mode, we can measure resistance up to $1 T\Omega$ or conductivity down to $1E-9 \rightarrow 1E-10 \text{ S/cm}$ (film thickness)





Rectangular contact: 10 *3 mm Electrode distance : 0.5 mm Structure Ti(50nm)/Pd(50nm)/Ag(1µm) Annealed for 90 min at 180°C in Ar, Ar/H

Temperature can be swept between 80 and 340 K Voltage can be swept between -105 and +105 V

Conductivity. Low dilution samples



- Activation energies consistent with intrinsic or non intentionally doped μc-Si:H with high crystalline fraction
- both σ and E_a significantly changes with doping
 - no Fermi level pinning as reported for a-Si

High T	6733 I d:1.96%	7578 I d:6%	7446 N doped	7445N doped
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$σ_{\rm RT}$ (Ω ⁻¹ .cm ⁻¹)	3.2 E-7	1.4 E-6	6.0 E-4	2.6 E-3



Ideal conductivity characteristics for solar cells

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- Bibliographic study establish that the best compromise between
 - Amorphous
 - Poor dark conductivity 10⁻¹¹-10⁻⁹ S/cm (high recombination)
 - High photogain :1000-10000 : very good light absorption
 - And Crystalline silicon
 - High dark conductivity 10⁻⁴-10⁻⁵ S/cm (low recombination)
 - Low photogain :1-5 : low light absorption
 - Should be nc-Si with:
 - Medium dark conductivity 10⁻⁷ S/cm
 - Medium photogain : 100

so the best samples for PV aplications should be close to the a-nc transition

- The best samples already grown show a dark conductivity of 10⁻⁸
 S/cm for a photogain around 100
 - ➔ These samples will have lower electrical performance than the recommended ones from literature ??? perchè???

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Surface Photovoltage Spectroscopy for :



- Identification of the $nc-Si \rightarrow a-Si$ transition
- Determination of the energy gap E_G of the multiphase material
- Determination the crystal disorder via the amplitude of the Urbach tails
- Characterization of defective states (levels and bands)

Amorphous-crystalline transition (i) Energy gap and defective states



nc-Si:H, grown on Si, Xc > 65 %

UNIBO nc-Si:H, grown on Si, Xc < 65 %



Optical behavior typical of nc-Si:H; optical transitions at discrete energy levels, tail states lower than 0.1 eV

Optical behavior typical of a-Si:H; optical transitions at band states, tail states larger than 0.1 eV

Quantum confinement studies



- A correlation between the crystallinity and the intensity of the photoluminescence band A was found.
- This band could be generated from the emission of quantum confined states due to its energy position between the c-Si and the a-Si gaps.



The same band (A) could be observed also in a-Si:H samples and, in the literature, it has attributed to localized states in the amorphous matrix.

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DOPED Materials

p type



nc-Si:H grown by

Low Energy Plasma Enhanced Chemical Vapour Deposition (LEPECVD)

DOPED

Sample set	DOPANT	d [%]	dr [%]	Substrate	Ts[°C]	t[nm]
DOPED I p-type	B (B ₂ H ₆)	1 ÷ 10	1÷10	Glass ZnO/glass	250	80
DOPED II n-type	P ?? controllare e	1÷10	1÷10	ZnO/glass	250	80

d = dilution factor = Φ (SiH₄)/ [Φ (SiH₄) + Φ (H₂)] X_c crystal fraction determined by RAMAN spectroscopy,

t sample thickness

dr = dopant dilution ratio = $\Phi (B_2H_6)/\Phi (SiH_4)$



Conductivity of the p-doped nc-Si:H films



- As expected the conductivity increases with doping ratio to reach a maximum of 5-6 S/cm at <u>DR 6.3%!!!</u> In the literature the optimum DR is between 0.4 and 0.8% for VHF-PECVD or HWCVD
- The conductivity on one wafer varies for a factor 3 to 6

Conductivity of the p-doped nc-Si:H films



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- The conductivity on one wafer varies for a factor 3 to 6

Latest results: Electrical Conduction p-doped nc-Si:H





C-AFM V_{bias}=1 V